

Electronic Acknowledgement Receipt

EFS ID:	3795942
Application Number:	10026359
International Application Number:	
Confirmation Number:	8067
Title of Invention:	Method and system for computer-implemented trading of secondary market debt securities
First Named Inventor/Applicant Name:	Brijesh Agarwal
Customer Number:	28089
Filer:	Irah Donner/Trennie Snyder
Filer Authorized By:	Irah Donner
Attorney Docket Number:	1497210.00123US1
Receipt Date:	18-AUG-2008
Filing Date:	24-DEC-2001
Time Stamp:	15:54:45
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1310
RAM confirmation Number	1099
Deposit Account	080219
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1		1497210-123US1_Amendment_081808.pdf	151117 0ef31c611c5901952e5620bf9c15f89eb98d 85c4	yes	22

Multipart Description/PDF files in .zip description

Document Description	Start	End
Amendment - After Non-Final Rejection	1	1
Claims	2	13
Applicant Arguments/Remarks Made in an Amendment	14	22

Warnings:
Information:

2	Extension of Time	1497210-123US1_Extension_Ti me081808.pdf	118075 f86ca39d1d487f860f96d1920e8c03e54b29 120c	no	1
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Warnings:
Information:

3	Letter Requesting Interview with Examiner	1497210-123US1_Interview_re quest081808.pdf	106563 44d34f03803e0041bb7228bc9dee7bb40f6 da8d8	no	1
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Warnings:
Information:

4	Fee Worksheet (PTO-06)	fee-info.pdf	31998 6ff8a9327525e178eea7813ccb695303cc8a 0dc1	no	2
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Warnings:
Information:

Total Files Size (in bytes):	407753
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.